



# COMPLIANCE TEAM'S CLIENT EXPECTATIONS

## We CAN Help Clients

- Monitor changing laws & regulations
- Conduct compliance reviews (clients must provide outline of current business practices)
- Compile research reports
- Draft legal documents (i.e., disclaimers, liability waivers, etc.)
- Provide guidance through government certification processes

## We CANNOT Help Clients

- **Provide legal advice**
  - For legal advice, a client must consult with a BAR association licensed attorney, for which we may be able to provide a referral.
- If a project contains **liability issues** for our team, we will let the client know that we are **unable** to take on that project.
  - Upon communicating that we are unable to work on a particular project, we will refer the client to an appropriate organization that is better suited to assist the client's needs.